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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/810.541	03/26/2004	1625	770	T.IK/460	2	1	1	

**CONFIRMATION NO. 8976** 

FILING RECEIPT

\*OC00000012898183\*

27717 SEYFARTH SHAW 55 EAST MONROE STREET SUITE 4200 CHICAGO, IL 60603-5803

Date Mailed: 06/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Keiji Tokunaga, Tokyo-to, JAPAN; Minoru Komada, Tokyo-to, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2003-094546 03/31/2003 JAPAN 2003-094564 03/31/2003

If Required, Foreign Filing License Granted: 06/07/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Gas barrier substrate

**Preliminary Class** 



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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# **CERTIFICATE OF MAILING**

**DATE OF DEPOSIT:** 

October 12, 2004

I hereby certify that the attached paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service First Class Mail on the date indicated above and is addressed to Commissioner for Patents, Box 1450, Alexandria, VA. 22313-1450, Mail Stop: OFFICE OF INITIAL PATENT EXAMINATION.

Christine Pavlyk
(Name of person mailing paper)

(Signature of person mailing paper)

# OT PE TO STANDER

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

KAWASHIMA

**EXAMINER:** 

N/A

SERIAL NO.:

10/810,541

GROUP:

1625

FILED:

March 26, 2004

CASE NO.:

TJK/460

ENTITLED:

GAS BARRIER SUBSTRATE

# REQUEST FOR CORRECTION TO FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

We have received the filing receipt for the above referenced case and note an error in the "Applicant(s)" field.

The correct listing of Applicant's should read Sayaka KAWASHIMA, Minoru KOMADA, and Keiji TOKUNAGA as listed in the attached executed Declaration.

We hereby request this correction be made in a timely manner.

Respectfully submitted,

SEYFARTH SHAW LLP 55 E. Monroe Street Suite 4200

Chicago, Illinois 60603

Timothy J/Keefer

Registration No. 35,567

ALTERNA TRADE

PTO/SB/01 (08-03) Approved for use through 07/31/2006. OMB 0651-0032

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ler the Paperwork Reduction Act of 1995, no persons are required to res

## **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

Declaration Declaration Submitted ΩR Submitted after Initial With Initial Filing (surcharge (37 ČFR 1.16 (e)) Filing required)

amended by any amendment specifically referred to above.

pond to a collection of information	unless it contains a valid OMB control number.
Attorney Docket Number	
First Named Inventor	
СОМ	PLETE IF KNOWN
Application Number	
Filing Date	
Art Unit	
Examiner Name	

I hereby declare that:								
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.								
I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
GAS BARRIER SUBSTRATE								
(Title of the Invention)								
the specification of which								
is attached hereto								
OR								
was filed on (MM/DD/YYYY) as United States Application Number or PCT International								
Application Number and was amended on (MM/DD/YYYY) (if applicable).								
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as								

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Co Yes	py Attached? No
2003-094546	Japan	03/31/2003			
2003-094564	Japan	03/31/2003			

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to: Customer Number: OR Correspondence address below									
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.									
NAME OF SOLE OR FIRST IN	VENTOR:			etition	has he	en filed	for this	s unsian	ed inventor
Given Name		<u></u>		Cation		amily N		o unoign	od inversor
(first and middle [if any])	Sayaka				0	r Surna	ime	KAW	ASHIMA
Inventor's	1								Date
Signature Saya Fa	. Kawa	shim	.0\						06/09/2004
Residence: City	State			Coun	Country Citizen			Citizen	· · · · · · · · · · · · · · · · · · ·
Tokyo	Tok	yo		J	Japan 3		J	apanese	
	NIPPON PRI ku, Tokyo		-	ID.,	1–1	Ichi	gaya	-kaga	cho 1-chome,
City	State		аран	1	ZIP			1	Country
Tokyo	Tok	yo			1	62-8	001		Japan
NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor									
Given Name (first and middle [if any])  Minoru  Family Name or Surname  KOMADA						MADA			
Inventor's Signature Minoru Komada Date 06/09/2004									
Residence: City Tokyo	State Tok	yo		Coun	try Japan	1		Citizen J	aship apanese
Mailing Address c/o DAI NIPPON PRINTING CO., LTD., 1-1 Ichigaya-kagacho 1-chome, Shinjuku-ku, Tokyo-to, Japan									
City	State				ZIP			Counti	у
Tokyo Tokyo					1	62-8	001		Japan
Additional inventors or a legal re	presentative are bei	ing named or	n the	suppleme	ental shee	et(s) PTC	)/SB/02A	or 02LR a	attached hereto.

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ADDITIONAL INVENTOR(S)

DECLARATION		Supplemental Sheet Page of					
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any)		Family Name or	Sumame				
Keiji		Turning Truming Or	TOKUNAGA				
i –			TONONAGA				
Inventor's Keiji lokunag			Date 06/09/2004				
Tokyo Residence: City	State	okyo <sub>Cou</sub>		Japanese Citizenship			
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Mailing Address			_	·			
Tokyo City	'I State	'okyo	<sub>Zip</sub> 162-8001	Japan Country			
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Given Name (first and middle (if any)		Family Name or Surname					
Inventor's Signature		Date					
Residence: City	State	_	Country Citizenshi				
Mailing Address							
Mailing Address		***					
City	State		Zip	Country			
Name of Additional Joint Inventor, if any:	A petition has been filed for this unsigned inventor						
Given Name (first and middle (if any)		Family Name or Surname					
Inventor's Signature		Date					
Residence: City	State	e Country Citizenship					
Mailing Address							
Mailing Address							
City		Zip	Country				

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